

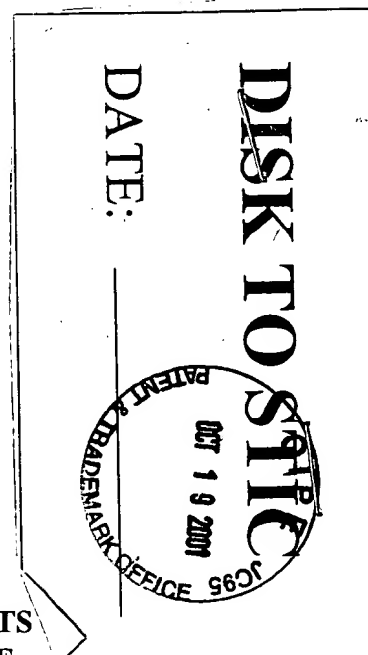


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hans Carlsson et al.
Serial No. : 09/308,435
Filed : May 19, 1999
For : VACCINE DELIVERY SYSTEM AND METHOD OF PRODUCTION
Examiner : V. Portner
Group Art Unit : 1645

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D. C. 20231.	
<u>Thelma A. Chen Cleland</u>	40,948
Name	Reg. No.
<u>Thelma A. Chen Cleland</u>	10/16/01
Signature	Date



RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID DISCLOSURES

Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a response to the Notice to Comply ("Notice") mailed on September 20, 2001 in the above-identified application. A copy of the Notice is enclosed.

In compliance with 37 C.F.R. §§ 1.821-1.825, Applicants enclose substitute paper and computer readable copies of the Sequence Listing to replace the Sequence Listing on file in the application. The Sequence Listing has been revised in accordance with the Examiner's request. The content of the paper and computer readable copies submitted herewith are the same.

Applicants assert that no new matter has been introduced in these submissions.

This paper is timely filed. Therefore no fee should be due. However, should it be determined that a fee is required for any reason, the Assistant Commissioner is hereby authorized to charge it to Deposit Account No. 23-1703.

Applicants respectfully request favorable consideration and entry hereof.

Dated: October 16, 2001

Respectfully submitted,

Thelma A. Chen Cleland

Thelma A. Chen Cleland
Reg. No. 40,948

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Enclosures

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

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- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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